



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:) Examiner: Kemmerer, Elizabeth
BAKER, et al.) Art Unit: 1646
Application Serial No. 09941992) Confirmation No: 8312
Filed: August 28, 2001) Attorney's Docket No. 39780-2730P1C1
For: SECRETED AND) Customer No. 35489
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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

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Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If Form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with M.P.E.P. §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- ☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
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-- OR --
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- ☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; or
- ☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
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- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Attorney's Docket No. 39780-2730P1C1).

Respectfully submitted,

Dated: September 25, 2006

By: 

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Form PTO-1449

Attorney's Docket No.
39780, 3730P1C1Application Serial No.
09/941,992

INFORMATION DISCLOSURE STATEMENT

Applicant(s)

BAKER, et al.

(use several sheets if necessary)

Filing Date:
August 28, 2001Group Art Unit:
1646

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date (if appropriate)

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Translation	
							YES	NO

OTHER DOCUMENTS

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	5.	Bea, S., et al., "BMI-1 Gene Amplification and Overexpression in Hematological Malignancies Occur Mainly in Mantle Cell Lymphomas," <i>Cancer Res.</i> - 61(6):2409-12 (2001) Abstract.
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Form PTO-1449		Attorney's Docket No. 39780-2730P1C1	Application Serial No. 09/941,992
INFORMATION DISCLOSURE STATEMENT		Applicant(s) BAKER et al.	
(use several sheets if necessary)		Filing Date: August 28, 2001	Group Art Unit: 1646
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Form PTO-1449	Attorney's Docket No. 39780-2730P121	Application Serial No. 09/941,992
INFORMATION DISCLOSURE STATEMENT	Applicant(s) BAKER, et al.	
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62.	Lassmann, S., et al., "Quantification of CK20 Gene and Protein Expression in Colorectal Cancer by RT-PCR and Immunohistochemistry Reveals Inter- and Intratumour Heterogeneity," <i>J Pathol.</i> - 198(2):198-206 (2002) Abstract.
63.	Legrand, O., et al., "Expression of the Multidrug Resistance-Associated Protein (MRP) mRNA and Protein in Normal Peripheral Blood and Bone Marrow Haemopoietic Cells," <i>Br J. Haematol.</i> - 94(1):23-33 (1996) Abstract.
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